

# BNP Paribas Funds

Luxembourg SICAV – UCITS category  
Registered office: 10 rue Edward Steichen, L-2540 Luxembourg  
Luxembourg Trade and Companies Register No. B 33363  
VAT No. LU22943885

## Notice to shareholders

Luxembourg, 12 April 2023,

Dear Shareholders,

We hereby inform you of the following changes incorporated in the next version of the prospectus, dated May 2023.

Unless otherwise provided in this document, the below changes will be effective on 15 May 2023.

### “Asia Tech Innovators”

The sub-fund's overall exposure (via both direct and indirect investments) to mainland China securities is currently limited to a maximum of **20%** of the sub-fund's assets by investments in “China A-Shares” via the Stock Connect. This limit will be increased to a maximum of **40%** of the sub-fund's assets as from 15 May 2023.

There will be no impact on the risk profile of the sub-fund.

Should you do not approve this change, you have the possibility to request the redemption of your shares free of charge until 12 May 2023.

### “Europe Convertible”, “Europe Small Cap Convertible”, “Global Convertible”

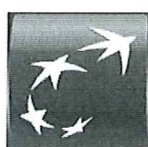
The investment manager of these sub-funds will be allowed to use warrants for hedging and efficient portfolio management. The “*Derivatives and Securities Financing Transactions*” section as well as the risk profile of the sub-funds will be updated accordingly.

Should you do not approve this change, you have the possibility to request the redemption of your shares free of charge until 12 May 2023.

### “Japan Small Cap”

Further to the merger of FundQuest Advisor into BNP Paribas Asset Management France, the advisory activities provided by FundQuest Advisor for this sub-fund were taken over identically by BNP Paribas Asset Management France. The team as well as all the processes have been kept within a dedicated department of BNP Paribas Asset Management France. As a result, all references to FundQuest Advisor as investment advisor in the prospectus as well as the advisory fees that were charged to the sub-fund to the benefit of this entity will be removed from the prospectus. In addition, the maximum management fees that can be charged for this sub-fund will increase as follows as from 15 May 2023:

Share category	Current level of management fees	New level of management fees
Classic	1.75%	1.85%
N	1.75%	1.85%
Privilege	0.90%	1.00%
I	0.85%	0.95%



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Should you do not approve this change, you have the possibility to request the redemption of your shares free of charge until 12 May 2023.

**“Green Bond”**

The level of “Other fees” of class X of the sub-fund will change from 0.15% max to 0.17% max. This increase is justified by a decision to harmonise the fee structure with other similar sub-funds.

Shareholders of this share class may ask for the redemption of their shares free of charge until 12 May 2023 should they do not approve this change.

**“Seasons”**

The second paragraph of the sub-fund’s investment policy will be amended so to read as follows as from 15 May 2023:

*“It consists in an investment in a portfolio of equity securities (at least 75% of the assets of the sub-fund between 90-100% of the “low-risk asset”) the performance of which is swapped against a monetary rate performance through the use of TRS\*, and in UCITS (including UCITS ETF hedged against their reference benchmark) or UCIs and in money market and short term instruments denominated in Euro with a maturity no longer than 18 months (up to 25% of the assets of the sub-fund).”*

This change will have no impact on the guarantee linked to this sub-fund.

Should you do not approve this change, you have the possibility to request the redemption of your shares free of charge until 12 May 2023.

**“Sustainable US Value Multi-Factor Equity”**

The minimum proportion of sustainable investments in the meaning of SFDR\* disclosed in Appendix 5 of Book I of the Prospectus and in the pre-contractual disclosures contained in Book III of the Prospectus has been reviewed and will decrease from 43% to 40%.

This change will have no impact on (i) the investment policy of the sub-fund and (ii) its risk profile.

Should you do not approve this change, you have the possibility to request the redemption of your shares free of charge until 12 May 2023.

\* SFDR stands for Sustainable Finance Disclosure Regulation (i.e. Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector).

**ADDITIONAL INFORMATION**

Additional clerical changes have been made to update and enhance the general wording of the Prospectus or to comply with new laws and regulations. Terms or expression not defined in the present notice have the same meaning as in the Prospectus of the Company.

If a clearinghouse holds your shares, we advise you to enquire about the specific terms applying to subscriptions, redemptions and conversions made via this type of intermediary.

***Please note that except for the newspaper publications required by Law, the official media going forward to obtain any notice to shareholders will be our website [www.bnpparibas-am.com](http://www.bnpparibas-am.com).***

In case of any question, please contact our Client Service (+ 352 26 46 31 21 / [AMLU.ClientService@bnpparibas.com](mailto:AMLU.ClientService@bnpparibas.com)).

Best regards,

The Board of Directors

# 法巴基金 BNP Paribas Funds

中文簡譯

Luxembourg SICAV – UCITS category  
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## 投資人通知

2023年4月12日, 盧森堡

致各位投資人,

我們於此通知您以下於下一版本 2023年5月公開說明書生效之變更。

除非於此文件中另有說明, 以下變更將於 2023年5月15日生效。

### “日本小型股票基金”

因FundQuest Advisor 將併入 BNP Paribas Asset Management France。由FundQuest Advisor 對子基金所提供的顧問服務將由 BNP Paribas Asset Management France 取代。原 FundQuest Advisor 之團隊與所有相關顧問服務將保留於 BNP Paribas Asset Management France 的專屬部門中。因此, 在公開說明書中, FundQuest Advisor 作為投資顧問並且子基金所需支付之顧問費(0.15%)將從公開說明書中移除。子基金所可被收取的最高管理費將自 2023年5月15日起增加為:

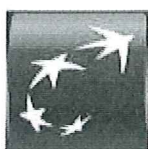
股份類別	現行管理費水準	新管理費水準
經典	1.75%	1.85%
N	1.75%	1.85%
Privilege	0.90%	1.00%
I	0.85%	0.95%

若您不同意此變更, 您可要求在 2023年5月12日前贖回您的股份, 無須支付贖回費。

### 其他資訊

增加文字, 用以更新及增加公開說明書整體文字的完整性, 以符合新的法規。  
未於此投資人通知所定義之辭彙或表達, 與公開說明書中之辭彙或表達具有相同之意義。

若您的股份由清算機構所持有, 我們建議您獲取經由此類中間機構確認之申購、贖回及轉換之方式。



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請注意除了於法規所要求之報章公告外，可以獲取後續任何投資人通知的媒體，為法國巴黎資產管理的網站 [www.bnpparibas-am.com](http://www.bnpparibas-am.com)。

如有任何問題，請洽本公司客服（+ 352 26 46 31 21/ [AMLU.ClientService@bnpparibas.com](mailto:AMLU.ClientService@bnpparibas.com)）。

董事會

2023/5/30

## 法銀巴黎投顧通知

# 法巴日本小型股票基金

## 管理費調整通知

親愛的客戶，您好，

因提供法巴日本小型股票基金顧問服務之FundQuest Advisor將併入投資經理人BNP Paribas Asset Management France。法巴日本小型股票基金原支付給FundQuest Advisor之顧問費年費0.15%將移除，法巴日本小型股票基金各級別之最高管理費將提高0.1%。於費用率調整之後，基金之最高總費用率將降低0.05%。此變更之生效日為2023年5月15日，基金費用率調整前後之比較如下表。

法巴日本小型股票基金 C (日幣)、H (美元)、N (日幣)

項目	調整前計算方式	調整後計算方式
經理費	最高為每年基金淨資產價值之 1.75%	最高為每年基金淨資產價值之1.85%
其他費用*	最高為每年基金淨資產價值之 0.40%	不變
認購稅	最高為每年基金淨資產價值之 0.05%	不變
顧問費	最高為每年基金淨資產價值之 0.15%	無
申購手續費	最高為3.0%，N(日幣)級別無	不變
贖回費	無，N(日幣)級別最高 3%	不變
分銷費	無，N(日幣)級別 0.75%	不變

\*經計算並每月從子基金、級別或級別的平均資產淨值扣除，用作支付一般資產託管開支（存管處的酬金）及每天行政開支（計算資產淨值、紀錄及帳冊保存、股東通知、提供及刊印在法律上必須為股東提供的文件、註冊、核數師成本及費用等）的費用。

境外基金管理公司提供之投資人通知如附件。

若您有任何問題，歡迎致電本公司客戶服務部門 02-77188188。

法銀巴黎投顧 敬上

法銀巴黎證券投資顧問股份有限公司 110 台北市信義路五段 7 號 71 樓之 1 TEL : (02)7718-8188 (112) 金管投顧新字第 013 號

「法銀巴黎投顧獨立經營管理」本境外基金經金管會核准或申報生效在國內募集及銷售，惟不表示絕無風險。基金經理公司以往之經理績效不保證基金之最低投資收益；基金經理公司除盡善良管理人之注意義務外，不負責本基金之盈虧，亦不保證最低之收益，有關基金應負擔之費用（含分銷費用）已揭露於基金之公開說明書及投資人須知中，投資人申購前應詳閱相關資料。法銀巴黎投顧並非針對個人狀況提供建議，且已盡力提供正確之資訊，投資人應自行作投資判斷。投資人並可至法銀巴黎投顧網站 ([www.bnpparibas-am.tw](http://www.bnpparibas-am.tw)) 或境外基金資訊觀測站查詢基金公開說明書及投資人須知。 MKT-2023-0531

