

日期：2022年12月15日

此乃重要函件，務請閣下即時處理。如閣下對本函件的內容或應採取的行動有任何疑問，應聯絡閣下的客戶服務代表、柏瑞於當地的辦事處或尋求獨立專業意見。

致柏瑞環球基金：柏瑞亞洲（日本除外）小型公司股票基金（下稱「子基金」）的所有投資者

**有關：柏瑞環球基金（「本基金」）  
對本基金的若干子基金作出建議變更的通知**

致投資者：

謹此致函通知閣下，該等子基金的附錄（各稱「附錄」）及適用的當地說明文件（統稱「發售文件」）將作出多項變更。對發售文件作出的建議變更（「建議變更」）於本函件附件一中概述。

建議變更無需閣下採取任何行動。對發售文件作出的變更預期將於 2023 年 1 月 16 日當日或前後（「生效日期」）生效。經更新的發售文件（對於香港投資者，連同該等子基金的經修訂產品資料概要）將於生效日期當日或前後由 PineBridge Investments Ireland Limited（「基金經理」）或本基金的行政及過戶代理人 State Street Fund Services (Ireland) Limited，以及本基金的香港代表（適用於香港居民單位持有人）柏瑞投資亞洲有限公司及本基金的新加坡代表（適用於新加坡居民單位持有人）PineBridge Investments Singapore Limited 的辦事處免費提供。香港居民單位持有人請留意，香港代表的商業位址已改變，詳情請參考下文的聯絡資料。

草擬及實行建議變更的法律及行政費用將由該等子基金各自承擔，而基金經理認為這些費用就相關該等子基金的當前資產淨值而言並不重大。

經更新的發售文件（對香港投資者而言，連同該等子基金的經修訂產品資料概要）亦可於 [www.pinebridge.com](http://www.pinebridge.com)（香港投資者請訪問 [www.pinebridge.com.hk](http://www.pinebridge.com.hk)<sup>1</sup>）獲取。

除另有訂明外，本函件所用詞彙與發行章程中所界定者具相同涵義。

本函件所載資料乃根據事實，且並無遺漏任何可能影響該等資料涵義之內容。基金經理之董事就本函件所載之資料於刊發日期當日的準確性負全部責任。

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<sup>1</sup>該網址未經證監會審閱。香港投資者亦應注意，該網站 [www.pinebridge.com](http://www.pinebridge.com) 並非專為香港居民而設，並可能包含未經證監會認可之子基金的資料。

如欲索取更多資訊，請聯絡：

居於歐洲／英國的投資者，請聯絡 PineBridge Investments Ireland Limited，地址為 The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland，電話：+353 1 697 3919。

居於香港的投資者，請聯絡柏瑞投資亞洲有限公司，地址為香港金鐘金鐘道 88 號太古廣場二座 1411 室，電話：+852 3970 3938。

居於新加坡的投資者，請聯絡 PineBridge Investments Singapore Limited，地址為 One George Street, 1 George Street, Unit 21-06, Singapore 049145，電話：+65 6571 9360。

此致

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董事

代表

PineBridge Investments Ireland Limited

## 附件一

### 附錄的特定變更

請注意，以下變更不會導致相關該等子基金的投資目標及政策發生任何變化。

#### **(I) 副投資經理委任 – 柏瑞亞洲（日本除外）小型公司股票基金**

PineBridge Investments Singapore Limited（「PBIS」）將被委任為該等子基金的副投資經理。

與該等子基金現任管理實體屬於同一集團的 PBIS 將被委任為該等子基金的副投資經理。副投資經理的委任被認為將使該等子基金能夠利用副投資經理在亞洲市場的投資專長，並更充分地利用柏瑞集團內可用的投資管理資源。因此，該委任被認為將使該等子基金的單位持有人整體受益。

請注意，該等子基金副投資經理的委任不會影響適用於該等子基金的特點及風險。除副投資經理的委任外，該等子基金的運作及／或管理方式不會出現任何變動，而委任副投資經理不會對該等子基金的現有投資者產生其他影響。此外，委任後管理該等子基金的費用水平或成本不會出現任何變動。此次委任不會對現有單位持有人的權利及權益造成重大損害。

因副投資經理的委任而不擬再投資該等子基金的單位持有人，可自本函日期起直至生效日期止，按發售文件所載的通常方式，贖回或轉換其持有的基金單位，而無需支付任何贖回或轉換費用。

**(ii) [略]**

**(iii) [略]**

Date: 15 December 2022

**This letter is important and requires your immediate attention. If you have any questions regarding the content or action to be taken, please contact your client services representative, local PineBridge office or seek independent professional advice.**

To all investors of PineBridge Global Funds: PineBridge Asian High Yield Total Return Bond Fund and PineBridge Asia ex Japan Small Cap Equity Fund (each a "Sub-Fund" and together the "Sub-Funds")

**RE: PINEBRIDGE GLOBAL FUNDS (THE "FUND")  
NOTIFICATION OF PROPOSED CHANGES TO CERTAIN SUB-FUNDS OF THE FUND**

Dear Investor,

The purpose of this letter is to notify you of a number of changes that will be made to the supplements for the Sub-Funds (each a "Supplement") and the applicable local covering documents (together the "Offering Documents"). The proposed changes being made to the Offering Documents are summarised in Appendix 1 to this letter (the "Proposed Changes").

*The Proposed Changes do not require any action on your part.* Changes to the Offering Documents are expected to become effective on or around 16 January 2023 (the "Effective Date"). The updated Offering Documents (and for Hong Kong investors, together with the revised Product Key Facts Statement of the Sub-Funds) will be made available, free of charge, on or around the Effective Date from PineBridge Investments Ireland Limited (the "Manager") or the Fund's Administrator and Transfer Agent, State Street Fund Services (Ireland) Limited, and from the offices of PineBridge Investments Asia Limited, the Fund's Hong Kong representative (for Hong Kong resident Unitholders) and PineBridge Investments Singapore Limited, the Fund's Singapore representative (for Singapore resident Unitholders). For Hong Kong resident Unitholders, please note that the business address of the Hong Kong representative has changed and for details, please refer to the contact information below.

The legal and administrative costs of drafting and implementing the Proposed Changes will be borne by the respective Sub-Funds and the Manager considers the costs not be significant in terms of the current net asset value of the relevant Sub-Funds.

The updated Offering Documents (and for Hong Kong investors together with the revised Product Key Facts Statement of the Sub-Funds) will also be available on [www.pinebridge.com](http://www.pinebridge.com) (and for Hong Kong investors on [www.pinebridge.com.hk](http://www.pinebridge.com.hk)<sup>1</sup>).

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

The information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors of the Manager accept full responsibility for the information contained in this letter as being accurate at the date of publication.

For further information, please do not hesitate to contact:

**Investors resident in Europe/UK**, please contact PineBridge Investments Ireland Limited, The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland Tel: +353 1 697 3919.

**Investors resident in Hong Kong**, please contact PineBridge Investments Asia Limited, Suite 1411, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong, Tel: +852 3970 3938.

**Investors resident in Singapore**, please contact PineBridge Investments Singapore Limited, One George Street, 1 George Street, Unit 21-06, Singapore 049145, Tel: +65 6571 9360.

Yours sincerely,



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**Director  
for and on behalf of  
PineBridge Investments Ireland Limited**

<sup>1</sup> The website has not been reviewed by the SFC. Hong Kong investors should also note that the website [www.pinebridge.com](http://www.pinebridge.com) is not specifically directed at Hong Kong residents and may contain information of sub-funds not authorised by the SFC.

## APPENDIX 1

### Supplement Specific Changes

Please note that the following changes do not result in any change to the Investment Objectives and Policies of the relevant Sub-Funds.

#### **(i) Sub-Investment Manager Appointment - PineBridge Asian High Yield Total Return Bond Fund and PineBridge Asia ex Japan Small Cap Equity Fund**

PineBridge Investments Singapore Limited (“PBIS”) will be appointed to act as sub-investment manager of the Sub-Funds.

PBIS, which belongs to the same group as the current management entity of the Sub-Funds, will be appointed to act as sub-investment manager of the Sub-Funds. It is considered that the appointment of the sub-investment manager will enable the Sub-Funds to tap into the strength of the sub-investment manager’s investment expertise in Asian markets and more fully utilise the investment management resources available within the PineBridge group. Accordingly, it is considered that the appointment will benefit the Unitholders of the Sub-Funds as a whole.

Please note that the appointment of a sub-investment manager of the Sub-Funds will not impact the features and risks applicable to the Sub-Funds. Other than the appointment of a sub-investment manager, there will be no change to the operation and/or manner in which the Sub-Funds are managed and there will be no other effect on existing investors of the Sub-Funds as a result of the appointment of a sub-investment manager. Further, there will be no change to the fee level or cost in managing the Sub-Funds following the appointment. The rights and interests of existing Unitholders will not be materially prejudiced as a result of this appointment.

Unitholders who no longer wish to invest in the Sub-Funds due to the appointment of the sub-investment manager may redeem or switch their unitholding free of any redemption or switching charge from the date of this letter up until the Effective Date in the usual manner as provided in the Offering Documents.

#### **(ii) Asia Pacific Region Update - PineBridge Asian High Yield Total Return Bond Fund**

Indonesia and Macau will be added to the list of countries included as examples in the definition of “Asia Pacific Region” in the “Sub-Fund Characteristics” section of the Supplement for the PineBridge Asian High Yield Total Return Bond Fund. This is a clarificatory update and does not amount to a material change to the Sub-Fund, will not result in any material change or increase in the overall risk profiles of the Sub-Fund and does not have a material adverse impact on

Unitholders' rights or interests (including changes that may limit the Unitholders' ability in exercising their rights).

**(iii) VAG Update - PineBridge Asian High Yield Total Return Bond Fund**

The "Investment Policy" section of the Supplement for the PineBridge Asian High Yield Total Return Bond Fund will be updated to clarify that (i) the Sub-Fund will not invest in any bonds rated CCC+ or below and (ii) any mortgage-backed securities and asset-backed securities invested in shall be rated above BB+ (by Standard & Poor's or equivalent by Moody's or other rating agency). Any such bonds or securities which fall below these minimum required ratings following purchase will not exceed 3% of the Net Asset Value of the Sub-Fund. This is a clarificatory update to ensure compliance with German "VAG" regulation on supervision of insurance undertakings and does not amount to any material or actual change to the investment policy of the Sub-Fund in practice, will not result in any material change or increase in the overall risk profiles of the Sub-Fund and does not have a material adverse impact on Unitholders' rights or interests (including changes that may limit the Unitholders' ability in exercising their rights).